



## **Dhyan Stock Broking Pvt. Ltd.**

### **Limits Setting Policy**

As per the requirements of BSE Ltd./ NSE

Ltd. Circular. The compliance officer shall

review on a periodic basis

1. Quantity limit for each order.
2. Value limit for each order.
3. User value limit for each user ID.
4. Sub broker wise value limit for each sub broker ID.
5. Security wise limit for each user ID.

The Compliance officer shall ensure that

1. The limits are set up after assessing the risks of the corresponding user ID & subbroker ID.
2. The limits are set up after taking into account the member's capital adequacy requirements.
3. All the limits are reviewed regularly and the limits in the system are up to date.
4. All the sub brokers or user have got limits defined and that no user or sub broker in the system is having unlimited limits on the above stated parameters.
5. Daily record of these limits is preserved and shall be produced before the Exchange as and when the information is called for.

1307, KOHINOOR SQUARE, N C KELKAR ROAD, DADAR WEST MUMBAI – 400028

Tele No:- 9920222204 URL: [dhyanonline.com](http://dhyanonline.com)

Email: [manthan.dhyan@gmail.com](mailto:manthan.dhyan@gmail.com) \*